

Visibility and time savings for segregation of duties management

KOGIT has developed a SoD matrix plugin for SailPoint IdentityIQ

SailPoint IdentityIQ integrates compliance management and user provisioning within an efficient identity and access management solution. It is widely deployed in banks and other major businesses throughout the world. IdentityIQ's standard functionality includes enhanced role categories and policies of the kind needed for managing segregation of duties (SoD). By using SoD, an organizational separation between business roles can be created to avoid conflicts of interest. However, until last year IdentityIQ had no option to display and manage these SoD-categories in a well-kept way. The SoD matrix plugin from KOGIT closes this gap.

SoD rules are employed by a growing number of customers in banking and financial services to manage or avoid critical access combinations. As large organizations may have hundreds or even thousands of roles, the administration of each individual-mutually exclusive combination is extremely time-consuming and in the long term, leads to a lack of transparency. It is therefore more efficient to define specific SoD categories with corresponding policies, and assign each role to one of these categories. Experience has shown that it is far easier to manage roles in overarching categories than thousands of direct role-to-role exclusions. Moreover, German banking regulations call for a form of segregation that is in line with this category method, as prescribed, for example, by the minimum risk requirements (MaRisk) of financial services regulator BaFin.

The SoD matrix plugin from KOGIT provides a graphical user interface for the enhanced managing of role SoD and policies in IdentityIQ. This ensures visibility into enterprise-wide SoD and allows their time-saving point-and-click management. Segregations are displayed in an intuitive matrix based on the role categories and policies modelled within IdentityIQ. This enables enterprise-wide SoD rules to be configured in accordance with the MaRisk requirements mandated by BaFin.

Conflicts, borderline cases and permitted combinations can be configured in a traffic-lighted way that is ideal for auditing purposes.



	Audit	Backoffice	Compliance	Finance	Frontoffice	Legal	Market Risk	Risk Control	Trading Desk	Trading Operations
Audit (-)	Green	Green	Yellow	Green	Red	Yellow	Green	Green	Yellow	Green
Backoffice (-)	Green	Green	Yellow	Yellow	Red	Green	Yellow	Yellow	Red	Yellow
Compliance (-)	Yellow	Yellow	Green	Yellow	Green	Green	Yellow	Yellow	Green	Green
Finance (-)	Green	Green	Yellow	Green	Green	Yellow	Green	Yellow	Green	Green
Frontoffice (-)	Red	Red	Yellow	Green	Green	Green	Yellow	Red	Yellow	Green
Legal (-)	Yellow	Green	Green	Yellow	Green	Green	Red	Yellow	Green	Green
Market Risk (-)	Green	Yellow	Yellow	Green	Green	Red	Green	Yellow	Red	Yellow
Risk Control (-)	Green	Yellow	Yellow	Yellow	Red	Yellow	Yellow	Green	Yellow	Yellow
Trading Desk (-)	Yellow	Red	Green	Green	Yellow	Green	Red	Yellow	Green	Red
Trading Operations (-)	Green	Yellow	Green	Green	Green	Green	Yellow	Yellow	Red	Green

The SoD matrix can be exported as an Excel-spreadsheet. Moreover, it is possible to edit the SoD by adding or removing categories or by changing the risk rating for category combinations.

The second version of the SoD matrix plugin is available for registered users to download in the SailPoint Compass Community.

For more information please contact:

KOGIT GmbH

Rheinstrasse 40-42

64283 Darmstadt

info@kogit.de

+496151 78690

www.kogit.de